

SECURITIES SETTLEMENT INSTRUCTION 證券交收指示

TO : CHINA MERCHANTS SECURITIES (HK) CO., LTD
32/F, One Exchange Square, Central, Hong Kong

Date 日期: _____

致 : 招商證券(香港)有限公司
香港中環交易廣場一期32樓

Account Number 賬戶號碼 _____ Account Name 賬戶名稱 _____

Please accept to 請接受 :

- RECEIVE the following securities from the said Participant for my/our above account
接收下列之證券至我/我們之戶口
- DELIVER the following securities from my/our above account to the said Participant
由我/我們之戶口撥出下列之證券

Please input the SETTLEMENT INSTRUCTION (SI) into CENTRAL CLEARING & SETTLEMENT SYSTEM (CCASS) to process settlement with details as follows 同時輸入以下交收指令到中央結算系統進行:

Name of Counterparty 參與者名稱		Contact Person 聯絡人		Counterparty ID 參與者代號	FOR OFFICE USE ONLY 公司專用	
		Telephone 電話				
Settlement Date 交收日期	Name of Stock 股份名稱	Stock Code 股份代號	No. of Share (s) 股份數量	SI Reference Number 中央結算系統編號	BOS Ref. 後勤系統編號	
Payment Instruction 付款指示		<input type="checkbox"/> Against Payment (DVP) 對付金額	<input type="checkbox"/> Free of Payment (FOP) 毋需付款			款項HK\$: _____
Purpose of SI 交收指示目的		<input type="checkbox"/> C = Broker – Custodian 經紀受托管交收 <input type="checkbox"/> M = Portfolio Management 股票組合轉移		<input type="checkbox"/> I = Investor Account 投資者賬戶		
Remarks 備註 :						
1. 香港股票市場之交收指示提取費用按每隻股票上日收市市值之0.25%或_____計算，每隻股票最低收費\$200，海外市場/其他產品之收費請另向客戶經理作查詢。SI withdrawal fee for H.K. market will be charged at 0.25% or _____ of stock value (based on previous closing price); minimum charges HKD200 per stock. Please check with your Account Manager of the withdrawal fee for foreign stocks/other products. 2. 海外市場及投資產品或會因市場限制不被接受轉倉，請與客戶經理查詢。Transfer of Foreign shares/Investment products may be rejected due to the market restrictions, please contact your Account Manager for details. 3. 如沒有列明交收方式(無須付款或貨銀對付)，將視作以無需付款交收。Instruction will be handled as free of payment if delivery method (free of payment or delivery against payment) is not specified. 本人保證對招商證券(香港)有限公司進行以上指示所蒙受或發生的所有訴訟、法律程序、索償、損害、成本或支出等任何性質的損失，將負全權彌償之責任。I/We undertake to indemnify CHINA MERCHANTS SECURITIES (HK) CO., LTD in respect of any litigation, legal proceedings, claims, damages, costs and expenses whatsoever which may be suffered or incurred by CHINA MERCHANTS SECURITIES (HK) CO., LTD arising out of or in connection with the above transaction.						

Our SSI:

Name: 招商證券(香港)有限公司/CHINA MERCHANTS SECURITIES (HK) CO., Limited
CCASS Participant ID: B01148

Please debit the captioned account for any costs or charges so incurred. 請在我/我們之證券賬戶中扣除一切開支

I/We declare that the transfer of the above securities involve(s) no change in beneficial ownership
本人/吾等聲明就上述有關證券之轉移對最終收益人或擁有人之任何權益產生任何變動。

Signature(s) 客戶簽署 _____

FOR OFFICE USE ONLY 公司專用		
SI Fee / Withdrawal Fee 結算費用/提倉費用		
Signature Verified	Input By	Checked/Authorized By