

## Application Form 基金申請表格

This form should be distributed in conjunction with the relevant Fund Explanatory Memorandum. 本表格必須連同有關基金說明書一起派發。  
Please complete in BLOCK CAPITALS. 請以正楷填寫。

**Recommendation:** It is important that you should read carefully the Explanatory Memorandum of the relevant fund before completing and submitting this Application Form.

建議：閣下在填寫此基金申請表格前應先細心閱讀有關基金的說明書。

### Section 1 – Details of Applicant (All individual applicants must be over 18 years of age)

第一部份 – 申請人資料詳情 (所有個人申請人必須年滿十八歲)

Type of Account 帳戶類別 · A. Individual/Joint Holders 個人 / 聯名客戶 · B. Corporation 公司客戶

A. (i) Individual / First Registered Holder 個人 / 第一位登記持有人

|                                 |                 |
|---------------------------------|-----------------|
| 1. Mr. 先生 Ms. 女士 Mrs. 太太        |                 |
| Last Name 姓                     | Given Name(s) 名 |
| ID Card / Passport No. 身份證/護照號碼 |                 |
| Telephone No. 電話號碼：             |                 |
| Residential Address 住址：         |                 |

(ii) Other Joint Holder 其它聯名持有人 (A maximum of 2 persons may be joint holders of Shares. 最多只能有兩位聯名股份持有人。)

|                                 |                 |
|---------------------------------|-----------------|
| 2. Mr. 先生 Ms. 女士 Mrs. 太太        |                 |
| Last Name 姓                     | Given Name(s) 名 |
| ID Card / Passport No. 身份證/護照號碼 |                 |
| Telephone No. 電話號碼：             |                 |
| Residential Address 住址：         |                 |

B. Corporation 公司客戶

Company Name 公司名稱：\_\_\_\_\_

Correspondence Address 通訊位址：\_\_\_\_\_

Telephone No. 電話：\_\_\_\_\_

### Section 2 – Subscription Details

第二部份 – 認購詳情

Account Number 戶口號碼：\_\_\_\_\_ Name of Fund(s) 基金名稱：\_\_\_\_\_

| Subscription Amount / Units 申購款額 / 單位 | Subscription fee 申購費用 | Total Amount 總數 |
|---------------------------------------|-----------------------|-----------------|
|                                       |                       |                 |

### Section 3 – Customer Declaration 第三部份 – 客戶聲明

I/We note that the product's risk level is: 本人/吾等知悉此產品風險評級為：

(Please select 請選擇 - 關於產品風險評級, 煩請諮詢閣下之客戶經理)

Extremely Low 極低       Low 低       Medium 中       High 高       Extremely High 極高

(Remarks: Please complete the Client Risk Profiling Questionnaire. If the product risk level is higher than your investment suitability classification, it will be regarded as mismatch resulting .

(備註:請完成投資風險屬性評估問卷。若產品風險評級高於客戶的投資屬性, 將視為產品風險評級與客戶的投資屬性不相符。)

I/We hereby agree to appoint China Merchants Securities (HK) Co., Ltd. ("the Company") to be the agent of me/us with full power to act for and on behalf of me/us to approve, deliver, execute and/or sign all or any instruments and to do all acts or things as may be required or, in the sole opinion of the Company, expedient in connection with the application and subscription of the shares in the Fund for the Appointor.

本人/吾等於此同意委任招商證券(香港)有限公司("公司")作為本人/吾等的代理人, 全權代表本人/吾等以批准、交付、執行並且簽署全部或任何有關檔及執行所有應要求及公司判斷為有利之行為或事宜, 為本人/吾等申請及認該基金的股份。

I am/ We are over the age of 18 years, and I am not / we are not acquiring the shares in the Fund on behalf of any person who is under 18 years of age.

本人/吾等年滿18歲及並非為未滿18歲人士獲取該基金的股份。

I/We understand that all applications are subject to acceptance by the relevant Fund Manager or other parties.

本人/吾等明白所有認購申請之接納與否將由有關的基金經理或其它人士決定。

I/We agree that the Company will act in the capacity as my / our nominee in the application and all the shares in the Fund so allotted will be registered and held by the Company as my / our nominee. I/We are fully aware that such arrangement requires me/us to act through the Company in the exercise of the rights in the shares, including the right of realization of the shares. I/We further agree that such shares held by the Company as my / our nominee may be deposited with a custodian to be designated by the Company the risk of which shall be solely borne by me / us. In addition, I/we acknowledge that the holding of the shares by the Company as my/our nominee will not absolve the risks involved with investment in the Fund and I/we also need to bear the risks of default of the obligations by the Company as my/our nominee.

本人 / 吾等同意公司將作為本人 / 吾等之代名人進行申購, 及公司將作為本人/吾等之代名人登記及持有獲分配的該基金股份。本人 / 吾等完全知悉此安排需要本人 / 吾等通過公司行使該等股份項下的權益, 包括把該等股份出售的權利。本人 / 吾等並同意公司作為本人/ 吾等之代名人持有的股份會寄存於公司指定之保管人, 而與之相關的全部風險則由本人/吾等承擔, 本人 / 吾等確認以公司作為本人 / 吾等之代名人持有股份不會免除與投資該基金有關之風險及本人 / 吾等需要承受公司違反其代名人責任之風險。

We also note that the Company or I / we may request transfer to me/us and registration in my/our name the shares of the Fund allotted to the Company as my/our nominee at my/our own cost.

本人 / 吾等知悉公司或本人 / 吾等可要求把公司作為本人/吾等之代名人而獲分配並持有之該基金股份轉移予本人 / 吾等及以本人/吾等之名義登記, 相關之費用則由本人 / 吾等支付。

I/We declare that the shares are not being acquired or held beneficially by or for a U.S. person or in violation of any applicable law.

本人/吾等聲明所認購之股份並非由或代美國人士申請或持有, 亦無觸犯任何適用的法律。

I / We confirm that I / we have received the Explanatory Memorandum provided in a language (English or Chinese) of my/our choice and I / we have read and understood the contents of the Explanatory Memorandum as applicable to the Fund in respect of which application is made. In respect of the content of the Explanatory Memorandum, I/We were specially invited to read, to ask questions and to take independent advice if I/we wished. I / We have not relied on the Company or any of its employees in assessing the merits, risks and suitability of purchasing any shares in the Fund. I/We guarantee that (a) I/we have the knowledge, expertise and experience in financial matters to evaluate the risk of investing in the Fund; (b) I am/we are fully aware of the risk inherent in investing the Fund; and (c) I / we can bear the risk of loss of my/our entire investment. I/we further agree and acknowledge that I / We shall only subscribe in the shares of the Fund if I / we have read thoroughly and fully understood the risks set out in the relevant Explanatory Memorandum.

本人/吾等確認已收到本人所選擇語言的基金說明書及已閱讀及明白有關基金說明書的內容。有關基金說明書的內容, 本人/吾等曾被邀請閱讀、發問及採取獨立意見。當本人/吾等在評估該基金的股份的優點、風險及適合性時, 本人/吾等並無依賴公司及其僱員。本人/吾等保證: (a)本人/吾等具有評估投資該基金風險的相關經驗及知識; (b)本人/吾等充分認識到投資該基金的存在風險; 及(c)本人/吾等有能承擔整個投資的風險。本人/吾等進一步同意及確認在已閱讀及完全明白基金說明書所載之風險後才認購該基金的股份。

The Explanatory Memorandum may list out type of person(s) who is/are restricted to trade the Fund ("Restricted Person"). I / We have read the relevant restriction thoroughly, I / we have consulted the relevant professional if I am / we are not familiar with the restriction, and guaranteed I am/ we are not the Restricted Person.

基金說明書可能列出限制交易該基金的人士("被限制人士")。本人/吾等已詳細閱讀相關規定(若本人/吾等並不明白相關規定, 本人/吾等已諮詢專業人士)及保證本人/吾等並非被限制人士。

I / We confirm that I / we will comply with all the procedures and requirements set out in the Explanatory Memorandum and reasonable requests made by the Fund Manager or the Company.

本人/吾等確認將遵從相關基金說明書的規定及基金經理或公司的合理要求。

I/We hereby agree to indemnify the Fund Manager or the Company against any loss arising to either of them as a result of any breach of any representation, warranty, covenant or confirmation by me / us in this Application Form or of my / our failure to disclose any relevant details or provide them with all information requested by either of them.

本人/吾等在此同意因違反在本基金申請表格的聲明、保證、約定或確認及沒有披露相關細節及沒有提供被要求提供的資料彌償基金經理及公司的一切損失。

I/We hereby confirm that the terms and conditions of the Securities Account Agreement entered into between the Company and me / us are applicable to and form part of this Application Form, subject always to the condition that in the case of conflict between the content of these two documents, the content of this Application Form shall prevail.

本人 / 吾等謹此確認本人 / 吾等與公司訂立之證券賬戶協議的條款與細則同樣適用於此基金申請表格並作為其構成部份，如此兩份文件內容互相抵觸時，則以此基金申請表格的內容為準。

I/We hereby declare that all information given in this Application Form and all documents provided to support this application are true and accurate. I/We hereby undertake to notify the Company in the event of any change to the information provided herein.

本人/吾等謹此聲明在此基金申請表格上提供的資料及就本申請提供的所有文件，均屬真確及無誤。本人/吾等謹此保證若此基金申請表格內所提供之資料有任何變更，本人/吾等有責任通知公司。

I/we agree that this Application Form shall be governed by and construed in accordance with the laws of Hong Kong Special Administrative Region ("Hong Kong") and I/we agree to submit to the non-exclusive jurisdiction of Hong Kong courts.

本人 / 吾等同意此基金申請表格受香港特別行政區（「香港」）法律管轄並按其詮釋，本人 / 吾等並同意服從香港法院的非獨有司法管轄權。

In the event of any differences in interpretation or meaning between English and Chinese version of this Application Form, the former shall prevail.

如此基金申請表格的中、英文本之解釋或意思有不相同，以英文本為準。

If any inconsistencies between the Chinese and English version, the English version prevails.

若中英文版本存有歧義，概以英文版本為準。

1. **Signature** 簽署：

2. **Signature** 簽署：

1. **Name** 姓名：

2. **Name** 姓名：

**Date** 日期：

**Date** 日期：